**Appendix 2 – Personal Budget Inclusions and Exclusions**

**Updated: 01-08-2016**

1. **Education (aged up to 25 years in full time education)**

Schools and colleges receive funding to support children and young people in three elements:

|  |  |  |  |
| --- | --- | --- | --- |
| **Service or Area** | **Available as a Personal Budget** | **Available as a Cash Budget (Direct Payment)** | **Description or Explanation** |
| Element 1 Funding | No | No | * This is standard placement funding and cannot be taken as a personal budget. |
| Element 2 Funding | No | No | * This is funding, up to £6,000 to provide extra individual help for lower level SEN needs. (SEN Support) * It cannot be taken as a personal budget. |
| Element 3 Funding | Yes, with consent of the education provider | Yes, with consent of the education provider | * Element 3 funding, (usually to a maximum of £4,000) may be made available for use as a Personal Budget, for interventions to meet an individual’s needs (in agreement with the education provider). * This can only be included with the agreement of the school or college. It is not always possible for a school or college to release element 3 funding into a Personal Budget because it may be part of the existing overall provision. * Any staff employed by parents/ young people to work within a school or college would have to have the school or college permission (usually the Head Teacher or Principal).Therefore this would need to be carefully planned. |

In Children’s Services, a cash budget (Direct Payments) must not, and will not, be made for the purpose of funding a school place or post 16 institution (Code of Practice 9.119)

1. **Children’s Social Care (aged up to 18 years)**

When universal and targeted services cannot support the family sufficiently to meet the child’s needs, a holistic assessment will be carried out at the family’s request to identify unmet needs.

|  |  |  |  |
| --- | --- | --- | --- |
| **Service or Area** | **Available as a Personal Budget** | **Available as a Cash Budget (Direct Payment)** | **Description or Explanation** |
| Short Breaks | Yes | Yes | * A personal budget may also include individual funding necessary to provide the family of a disabled child with a short break or family support that is not provided in a residential respite unit. |
| Overnight Short Breaks | Yes\* | Yes\* | * \*A personal budget may also include individual funding necessary to provide the family of a disabled child with a short break or family support that is not provided in a residential respite unit. |
| Non-residential support | Yes | Yes | * Non-residential means that the child does not spend a night in a respite unit away from the family home. * A personal budget may include funding needed to provide any non-residential support to meet the unmet needs identified within an assessment. |
| Residential support | Not usually | Not usually | * Residential support is usually allocated differently to overnight short breaks. The number of nights needed often exceeds the maximum allowed to be able to offer it as a personal budget. |

1. **Adults’ Social Care (aged over 18 years)**

|  |  |  |  |
| --- | --- | --- | --- |
| **Service or Area** | **Available as a Personal Budget** | **Available as a Cash Budget (Direct Payment)** | **Description or Explanation** |
| Non-residential Support | Yes | Yes | * A personal budget may include funding needed to provide any non-residential support to meet the unmet needs identified within an assessment. |
| Residential Accommodation Provision | Yes\* | No | * A cash budget (direct payment) currently cannot be provided in adults’ social care for residential accommodation provision |

**Please note:** A cash budget (direct payment) currently cannot be provided in adults’ social care for those in Prison.

1. **Health**

|  |  |  |  |
| --- | --- | --- | --- |
| **Service or Area** | **Available as a Personal Health Budget** | **Available as a Cash Budget (Direct Payment)** | **Description or Explanation** |
| Continuing Care Package | Yes | Yes | * In principle, the amount of money that would have normally been spent on NHS services as part of a child or young person’s Continuing Care package (except those services excluded as outlined in [regulations](http://www.personalhealthbudgets.england.nhs.uk/_library/Resources/Personalhealthbudgets/2014/Guidance_on_Direct_Payments_for_Healthcare_Understanding_the_Regulations_March_2014.pdf)*)* could be available to use as a personal health budget (PHB). |
| Community Support | Possibly\*  For those with an Education, Health and Care (EHC) Plan | Possibly\* | * \*Children with an Education, Health & Care Plan can already request a PHB. Wakefield Clinical Commissioning Group (CCG) is making a commitment that any requests will be considered on a case by case basis against the CCG policy and where there is a significant benefit of a PHB over commissioned services. |

In order to improve the lives of children with special educational needs, the planning guidance is clear that CCGs are also expected to continue to work alongside Local Authorities and schools on the implementation of integrated education, health and care plans, and to expand the offer of Personal Health Budgets beyond continuing care, to Learning Disabilities and to children with significant health needs, in line with the Children and Families Act 2014 and the Bubb Report, 2015.

For more information, please click [here](https://www.wakefieldccg.nhs.uk/home/patient-in-wakefield/local-services/your-health-your-choice/) for Wakefield CCG’s Personal Health Budget Local Offer